

9-06-05

2141/4
C.C

EXPRESS MAIL NO. EV 657809159 US

PATENT APPLICATION
Docket No: 14531.107.1.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)	
	Paz, et al.)	
Serial No.:	09/770,644)	Art Unit
Confirmation No.:	6756)	2141
Filed:	January 25, 2001)	
For:	MANIPULATING A COMPRESSED VIDEO STREAM)	
Examiner:	Kristie D. Shingles)	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

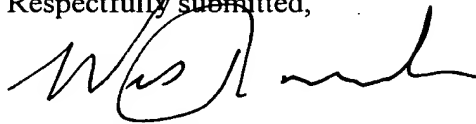
Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED September 2, 2005.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Wesley C. Rosander', written over the typed name.

Wesley C. Rosander
Attorney for Applicant
Registration No. 51,030
Customer No. 047973



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 197(b) or 1.97(c))

Docket No.
14531.107.1.2

In Re Application Of:

Paz, et al.

Application No.	Filing Date	Examiner	Customer No	Group Art Unit	Confirmation No.
09/770,644	01/25/2001	Kristie D. Shingles	047973	2141	6756

Title:

MANIPULATING A COMPRESSED VIDEO STREAM

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 197(b) or 1.97(c))	Docket No. 14531.107.1.2
--	------------------------------------

In Re Application Of:

Paz, et al.

Application No. 09/770,644	Filing Date 01/25/2001	Examiner Kristie D. Shingles	Customer No 047973	Group Art Unit 2141	Confirmation No. 6756
--------------------------------------	----------------------------------	--	------------------------------	-------------------------------	---------------------------------

Title:

MANIPULATING A COMPRESSED VIDEO STREAM

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \$ _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \$ _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☒ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)
_____ (Date)
_____ Signature
_____ Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on
_____ (Date)
_____ Signature of Person Mailing Correspondence
_____ Typed or Printed Name of Person Mailing Certificate

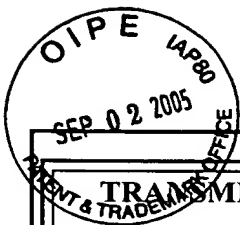
*This certificate may only be used if paying by deposit account.



Signature

Dated: September 2, 2005

cc: Wesley C. Rosander
Attorney for Applicants
Registration No. 51,030
Express Mailing Label No. EV 657809159 US



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 197(b) or 1.97(c))

Docket No.
14531.107.1.2

In Re Application Of:

Paz, et al.

Application No.	Filing Date	Examiner	Customer No	Group Art Unit	Confirmation No.
09/770,644	01/25/2001	Kristie D. Shingles	047973	2141	6756

Title:
MANIPULATING A COMPRESSED VIDEO STREAM

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

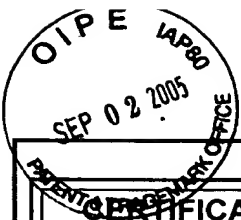
37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Paz, et al.

Docket No.
14531.107.1.2Application No.
09/770,644Filing Date
01/25/2001Examiner
Kristie D. ShinglesCustomer No
047973Group Art Unit
2141**Invention:**

MANIPULATING A COMPRESSED VIDEO STREAM

I hereby certify that the following correspondence:

(see below)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

September 2, 2005
(Date)

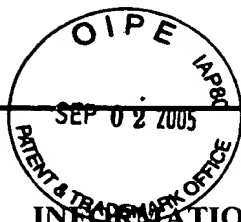
Liz Ostler

(Typed or Printed Name of Person Mailing Correspondence)
(Signature of Person Mailing Correspondence)

EV 657809159 US

*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.****Submitted:**

Information Disclosure Statement (2 pages)
Information Disclosure Statement Transmittal (2 pages)
Information Disclosure Statement Citation (1 page)
Certificate of Mailing by Express Mail (Label No. EV 657809159 US)
Credit Card Form PT-2038
Postcard



INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Docket number (Optional)
14531.107.1.2

Application Number
09/770,644

Applicant(s)

Paz, et al.

Filing Date

01/25/2001

Group Art Unit

2141

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,838,927	11/17/1998	Gillon, et al.	395	200.77	11/22/1996
		6,754,905	06/22/2004	Gordon, et al.	725	38	04/15/1999
		6,208,354	03/27/2001	Porter	345	435	11/03/1998
		5,778,098	07/07/1998	Lee, et al.	382	236	03/22/1996
		5,892,535	04/06/1999	Allen, et al.	348	9	12/13/1996
		6,049,831	04/11/2000	Gardell, et al.	709	236	02/13/1997
		5,493,638	02/20/1996	Hooper, et al.	395	135	10/13/1994
		6,226,642	05/01/2001	Beranek, et al.	707	10	09/11/1997
		6,507,872	01/14/2003	Geshwind	709	236	06/07/1995
		6,397,387	05/28/2002	Rosin, et al.	725	44	06/02/1997
		6,622,306	09/16/2003	Kamada	725	109	03/04/1999
		6,311,197	10/30/2001	Mighdoll, et al.	707	513	06/10/1998
		5,564,001	10/08/1996	Lewis	395	154	06/24/1994
		6,721,455	04/13/2004	Gourdol	382	232	05/08/1998
		6,215,904	04/10/2001	Lavallee	382	234	11/30/1994
		6,243,388	06/05/2001	Mussman, et al.	370	420	12/30/1997
		5,822,524	10/13/1998	Chen, et al.	395	200.33	07/21/1995
		6,356,664	02/24/1999	Dunn, et al.	382	239	03/12/2002
		6,381,748	05/02/1997	Lin, et al.	725	109	04/30/2002

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.